

Form PTO 1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93)		ATTORNEY'S DOCKET NUMBER <u>P31158</u>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>08/945249</b>
INTERNATIONAL APPLICATION NO <b>PCT/EP96/01706</b>	INTERNATIONAL FILING DATE <b>April 23, 1996</b>	PRIORITY DATE CLAIMED <b>April 24, 1995</b>
TITLE OF INVENTION <b>USE OF (R)-PENCICLOVIR TRIPHOSPHATE FOR THE MANUFACTURE OF A MEDICAMENT FOR THE TREATMENT OF VIRAL DISEASES</b>		
APPLICANT(S) FOR DO/EO/US <b>Richard Anthony VERE HODGE and Raymond F. SCHINAZI</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**


11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

US APPLICATION NO (if known see 37 CFR 1.50)		INTERNATIONAL APPLICATION NO PCT/EP96/01706		ATTORNEYS DOCKET NO P31158	
17. [X] The following fees are submitted:				CALCULATIONS PTO USE ONLY	
<b>Basic National Fee (37 C.F.R. 1.492(a)(1)-(5)):</b>					
Search Report has been prepared by the EPO or JPO .....\$930.00					
International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) .....\$720.00					
No International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$790.00					
Neither International Preliminary Examination Fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1070.00					
International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$98.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$930.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(c)).				<b>\$0.00</b>	
Claims	Number Filed	Number Extra	Rate		
Total claims	14- 20 =	0	0 x \$22.00	<b>\$0.00</b>	
Independent claims	2 - 3 =	0	0 x \$82.00	<b>\$0.00</b>	
Multiple dependent claims (if applicable)			+ \$270.00	<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$930.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				<b>\$</b>	
<b>SUBTOTAL =</b>				<b>\$0.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +				<b>\$</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$930.00</b>	
				Amount to be refunded	\$
				charged	\$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 19-2570 in the amount of **\$930.00** to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2570. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**  
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INTERNATIONAL APP. NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP96/01706	April 23, 1996	April 24, 1995

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TITLE OF INVENTION

Use of (R)-Penciclovir Triphosphate for the Manufacture of a Medicament for the Treatment of Viral Diseases

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APPLICANT(S) FOR DO/US

Richard Anthony Vere Hodge and Raymond F. Schinazi

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PRELIMINARY AMENDMENT

Applicants respectfully request that the following amendments and remarks be made of record prior to examination of the above-cited application.

IN THE CLAIMS:

Please cancel claim 2.

Please amend the following claims:

Claim 4 (amended). [A ] The method [, composition, or use] according to Claim 1 [, 2, or 3] wherein the (R)-PCV-TP is in the form of a bioprecursor which is a derivative of (R)- PCV-MP which liberates intracellularly (R)-PCV-MP which is in turn converted to (R)-PCV-TP.

Claim 5 (amended). A compound which is a bioprecursor of (R)-PCV-TP [, for use in the method, use, or composition according to claim 1, 2, 3, or 4].

Please add the following claim:

Claim 8. The composition according to Claim 3 wherein the (R)-PCV-TP is in the form of a bioprecursor which is a derivative of (R)- PCV-MP which liberates intracellularly (R)-PCV-MP which is in turn converted to (R)-PCV-TP.

Claim 9. The compound according to Claim 5 wherein the bioprecursor h is a derivative of (R)- PCV-MP which liberates intracellularly (R)-PCV-MP which is in turn converted to (R)-PCV-TP.

- 2 -

Claim 10. The compound according to Claim 7 which is a PL-ASOR derivative.

Claim 11. The compound according to Claim 7 which is a phospholipid derivative.

Claim 12. The compound according to Claim 7 which is a (R)-MP Bis(POM) derivative.

Claim 13. The compound according to Claim 7 which is a (R)-MP diphenyl ester derivative.

Claim 14. The compound according to Claim 7 which is a dimyristoylglycerol diphosphate derivative.

#### REMARKS

Claim 2 has been cancelled. Claims 4 and 5 have been amended to correspond more closely to U.S. practice, and Claims 8 to 14 have been added. Support for the newly added claims lie in originally filed claims, and are added to correspond to U.S. practice. No new matter is believed added.

Should the Examiner have any questions or wish to discuss any aspect of this case, the Examiner is encouraged to call the undersigned at the number below. If any additional fees or charges are required by this paper the Commissioner is hereby authorized to charge Deposit account 19-2570 accordingly.

Respectfully submitted,



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PATENT  
ATTORNEY'S DOCKET NUMBER P31158

TRANSMITTAL LETTER TO THE U.S. DESIGNATED OFFICE  
(DO/US) - ENTRY INTO NATIONAL STAGE UNDER 35 USC 371

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## TITLE OF INVENTION

USE OF (R)-PENCICLOVIR TRIPHOSPHATE FOR THE MANUFACTURE OF A  
MEDICAMENT FOR THE TREATMENT OF VIRAL DISEASES

## APPLICANT(S) FOR DO/US

Richard Anthony VERE HODGE and Raymond F. SCHINAZI

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ATTENTION: DO/US

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted  
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Raymond Schinazi  
(Typed or printed name of person mailing paper)

Raymond Schinazi  
(Signature of person mailing paper)

08/945249

PATENT

ATTORNEY'S DOCKET NUMBER P31158

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MEDICAMENT FOR THE TREATMENT OF VIRAL DISEASES

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## APPLICANT(S) FOR DO/US

Richard Anthony VERE HODGE and Raymond F. SCHINAZI

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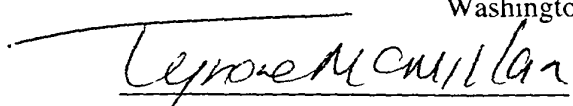
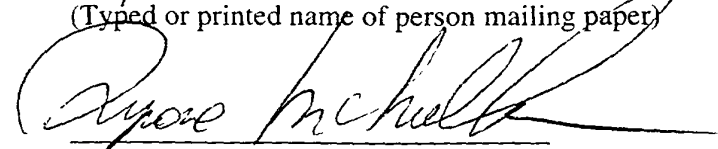
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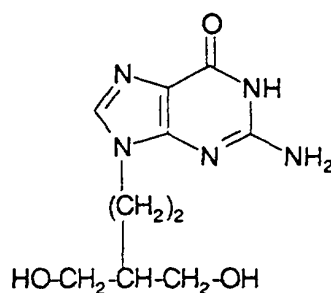
  
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## USE OF (R)-PENCICLOVIR TRIPHOSPHATE FOR THE MANUFACTURE OF A MEDICAMENT FOR THE TREATMENT OF VIRAL DISEASES

This invention relates to treatment of human immunodeficiency virus (HIV) and hepatitis B virus (HBV) infection.

5 When used herein, 'treatment' includes prophylaxis as appropriate.

EP-A-141927 (Beecham Group p.l.c.) discloses penciclovir (PCV), the compound of formula (A):



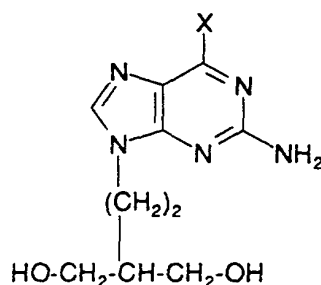
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(A)

and salts, phosphate esters and acyl derivatives thereof, as antiviral agents. The sodium salt hydrate of penciclovir is disclosed in EP-A-216459 (Beecham Group p.l.c.). Penciclovir and its antiviral activity is also disclosed in Abstract P.V11-5 p.193 of 'Abstracts of 14th Int. Congress of Microbiology', Manchester, England 7-13 September 1986 (Boyd et. al.).

15

Orally active bioprecursors of the compound of formula (A) are of formula (B):



20

(B)

and salts and derivatives thereof as defined under formula (A); wherein X is C<sub>1-6</sub> alkoxy, NH<sub>2</sub> or hydrogen. The compounds of formula (B) wherein X is C<sub>1-6</sub> alkoxy or NH<sub>2</sub> are disclosed in EP-A-141927 and the compounds of formula (B) wherein X is hydrogen, disclosed in EP-A-182024 (Beecham Group p.l.c.) are preferred

5 prodrugs. A particularly preferred example of a compound of formula (B) is that wherein X is hydrogen and wherein the two OH groups are both in the form of the acetyl derivative, described in Example 2 of EP-A-182024, hereinafter referred to as famciclovir.

EP-A-388049 (Beecham Group p.l.c.), discloses the use of  
10 penciclovir/famciclovir in the treatment of hepatitis B virus infection.

The antiviral activity against hepatitis B virus appears to be dependent on intracellular formation of penciclovir triphosphate (PCV-TP). The HBV polymerase has many enzymatic activities, including formation of a covalent bond between the polymerase and dGMP, addition of T-A-A, followed by RNA-directed DNA  
15 polymerase (reverse transcriptase) and DNA-directed DNA synthesis.

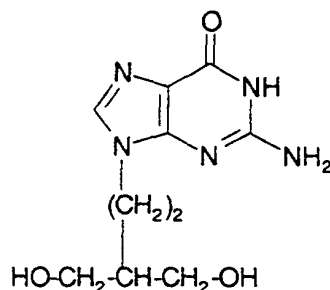
The triphosphate derivative of penciclovir inhibits the RNA-directed DNA polymerase (reverse transcriptase) activity of human immunodeficiency virus type 1 (HIV-1). The reverse transcriptase of HIV-1 is a virus-encoded enzyme essential for the conversion of genomic RNA into proviral ds-DNA.

20 It has now been shown that the (R)-enantiomer of PCV-TP is more active than the (S)-enantiomer in respect of inhibition of HBV DNA polymerases and in respect of inhibition of HIV-1 reverse transcriptase.

Accordingly, the present invention provides a method of treatment of:

- i) HIV-1 infections in mammals, including humans; or
- 25 ii) HBV infections in mammals, including humans;

which method comprises the administration to the human in need of such treatment, an effective amount of the (R)-enantiomer of the triphosphate of a compound of formula (A):



30

(A)

or a pharmaceutically acceptable salt thereof.

The (R)-PCV-TP is administered in the form of a compound which is a bioprecursor to allow absorption and penetration through the cell wall. Selectivity for the virus infected cell, especially HIV infected cells, can be achieved by selecting a bioprecursor which is activated preferentially by the virally encoded protease. The  
5 bioprecursor may be in the form of a derivative of (R)-PCV-MP which liberates intracellularly (R)-PCV-MP which is in turn converted to (R)-PCV-TP.

The compound may be administered by the oral route to humans and may be compounded in the form of syrup, tablets or capsule. When in the form of a tablet, any pharmaceutical carrier suitable for formulating such solid compositions may be  
10 used, for example magnesium stearate, starch, lactose, glucose, rice, flour and chalk. The compound may also be in the form of an ingestible capsule, for example of gelatin, to contain the compound, or in the form of a syrup, a solution or a suspension. Suitable liquid pharmaceutical carriers include ethyl alcohol, glycerine, saline and water to which flavouring or colouring agents may be added to form  
15 syrups. Sustained release formulations, for example tablets containing an enteric coating, are also envisaged.

For parenteral administration, fluid unit dose forms are prepared containing the compound and a sterile vehicle. The compound depending on the vehicle and the concentration, can be either suspended or dissolved. Parenteral solutions are  
20 normally prepared by dissolving the compound in a vehicle and filter sterilising before filling into a suitable vial or ampoule and sealing. Advantageously, adjuvants such as a local anaesthetic, preservatives and buffering agents are also dissolved in the vehicle. To enhance the stability, the composition can be frozen after filling into the vial and the water removed under vacuum.

25 Parenteral suspensions are prepared in substantially the same manner except that the compound is suspended in the vehicle instead of being dissolved and sterilised by exposure to ethylene oxide before suspending in the sterile vehicle. Advantageously, a surfactant or wetting agent is included in the composition to facilitate uniform distribution of the compound of the invention.

30 As is common practice, the compositions will usually be accompanied by written or printed directions for use in the medical treatment concerned.

A suitable dosage unit might contain from 50mg to 1g of active ingredient, for example 100 to 500mg. Such doses may be administered 1 to 4 times a day or more usually 2 or 3 times a day. The effective dose of compound will, in general, be  
35 in the range of from 0.2 to 40mg per kilogram of body weight per day or, more usually, 10 to 20 mg/kg per day.

The present invention also provides the use of the (R)-enantiomer of the triphosphate of a compound of formula (A) or a bioprecursor therefor, in the preparation of a medicament for use in the treatment of:

- i) HIV-1 infections in mammals, including humans; or
- 5 ii) HBV infections in mammals, including humans.

Such treatment may be carried out in the manner as hereinbefore described.

The present invention further provides a pharmaceutical composition for use in the treatment of:

- I) HIV-1 infections in mammals, including humans; or
- 10 ii) HBV infections in mammals, including humans;

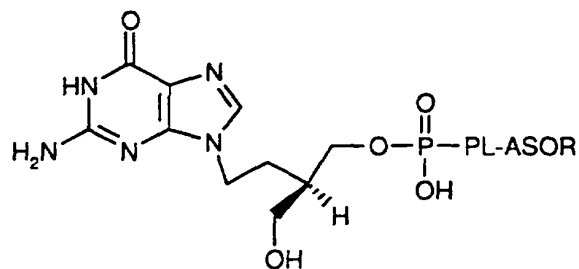
which comprises an effective amount of the (R)-enantiomer of the triphosphate of a compound of formula (A), or a bioprecursor therefor, and a pharmaceutically acceptable carrier.

Such compositions may be prepared in the manner as hereinafter described.

- 15 The biological data describing the activity of (R)-PCV-TP is described by Shaw *et al*, Zoulim *et al* in 'Abstracts of the 35th Interscience Conference on Antimicrobial Agents and Chemotherapy' 17-20 September 1995, H13, p182 and H36, p191 and Schinazi *et al* in 'Antiviral Research' 1995, Supplement 1, 146, A304, and also in the attached draft manuscript by Zoulim and the attached extract from the
- 20 poster presented in support of the Schinazi reference, submitted with British Patent Application 9604909.3, from which the present application claims convention priority.

- 25 The following examples illustrate bioprecursors of the (R)-enantiomer of the triphosphate of a compound of formula (A). Examples 1, 2 and 5 are of potential interest in treatment of HBV and Examples 3 and 4 are of potential interest in treatment of HIV-1.

**Example 1**  
**PL-ASOR derivative**

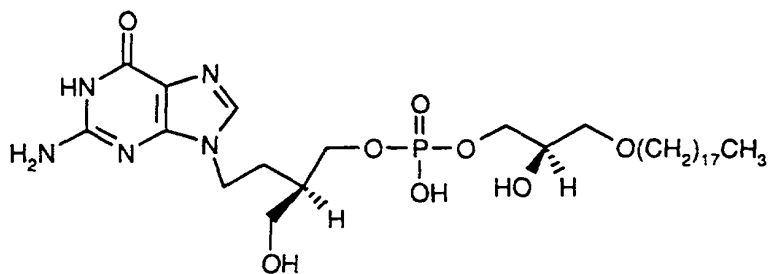


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The (S) enantiomer of a PCV derivative with a protecting group on one hydroxyl group is phosphorylated and the the protecting group is then removed to afford (R)-PCV-MP. (R)-PCV-MP is activated and coupled to PL-ASOR by a procedure such as that described in Drug Delivery 2, 136, 1995. The resulting

10 conjugate may have multiple (R)-PCV-MP moieties per protein molecule.

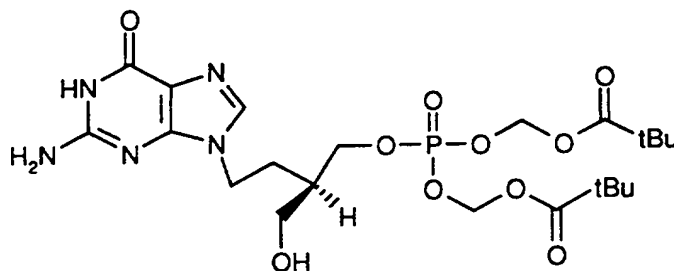
**Example 2**  
**Phospholipid derivative**



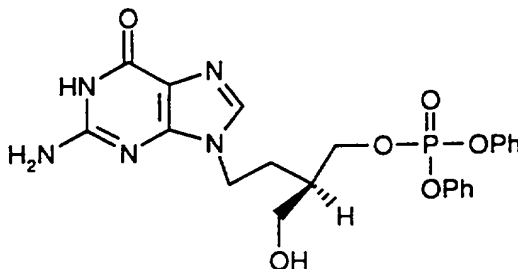
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(R)-PCV-MP or a protected form thereof (prepared as in Example 1) is converted to this derivative by alkylation, or the phosphate group is activated and coupled to a protected form of the lipid, followed by deprotection.

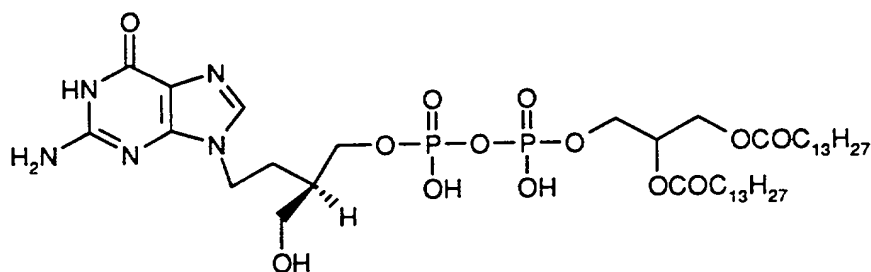
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**Example 3****(R)-MP Bis(POM) derivative**

- 5 (R)-PCV-MP or a protected form thereof (prepared as in Example 1) is converted to the bis(POM) derivative by alkylation with pivalyloxymethyl chloride by the procedure of J. Pharmaceutical Sci. 72, 324-5, 1983 or of J. Med. Chem. 38, 1372-9, 1995 and the optionally present protecting group is removed.

**10 Example 4****(R)-MP Diphenyl ester**

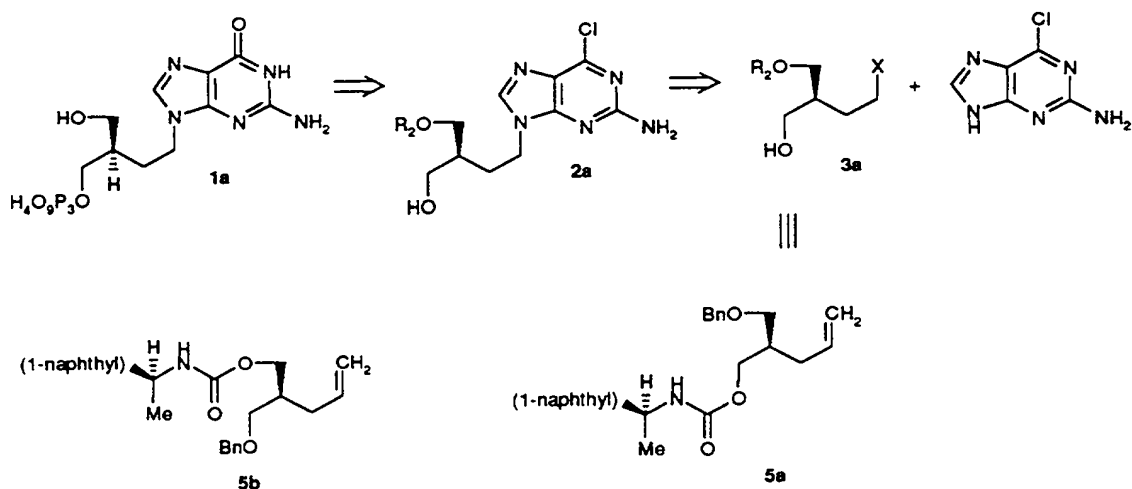
- The (S) enantiomer of a PCV derivative with a protecting group on one hydroxyl group is treated with diphenyl phosphorochloridate and the protecting group  
15 is removed.

**Example 5****Dimyristoylglycerol diphosphate derivative**

- 5 (R)-PCV-MP or a protected form thereof (prepared as in Example 1) is coupled with an activated form of *sn*-1,2-dimyristoylglycerol phosphate and the optionally present protecting group is removed.

10 **Description 1- preparation of (R)-PCV phosphate**

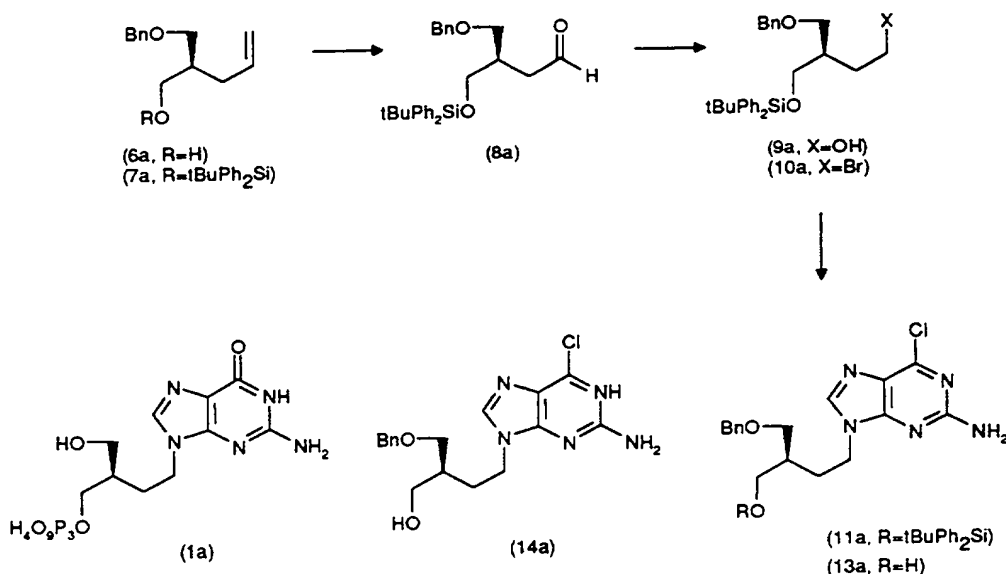
- Retrosynthetic analysis of (R)-penciclovir triphosphate **1a** yields the mono-protected synthon **2a**, scheme 1. Further disconnection yields the chiral fragment **3a** and 2-amino-6-chloropurine **4**. The synthetic equivalent of the synthon **3a** is the urethane **5a** used by Kishi *et al* in the total synthesis of monensin<sup>2</sup>. By analogy for the
- 15 synthesis of (S)-penciclovir triphosphate **1b** requires the urethane **5b** as starting material..The urethanes **5a** and **5b** have also been employed in the synthesis of (R)- and (S)-1-(3-hydroxymethylpyrrolidin-1-yl)cytosine<sup>3</sup>.



Where:  $R_1$  and  $R_2$  are orthogonal protecting groups and  $X$  = good leaving group

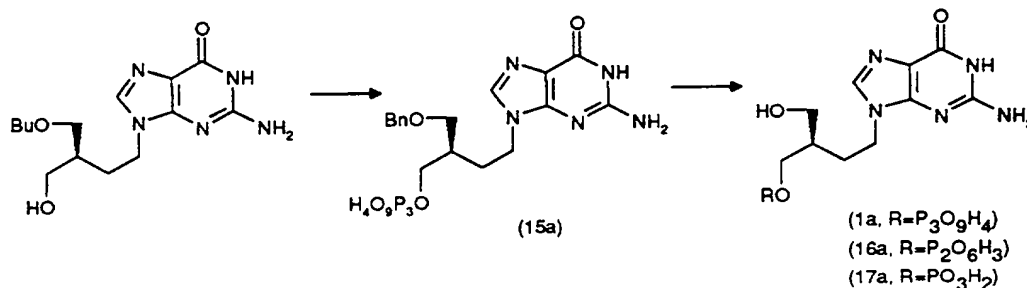
The diastereomeric urethanes **5a** and **5b** were separated by medium pressure column chromatography and were shown by analytical normal phase chromatography to be 86% d.e. in both cases. Reduction of the urethanes **5a** and **5b** with LAH gave the mono-benzyl ethers **6a** and **6b**<sup>2</sup>. The optical rotation of the levorotary mono-benzyl ether **6a** ( $\alpha_D^{25} - 12.4^\circ$  ( $c = 1.00$ , chloroform)); lit.<sup>2</sup>  $\alpha_D^{25} - 12.1^\circ$  ( $c = 0.68$ , chloroform)) had previously been established as possessing the *S* configuration. The mono-benzyl ethers **6a** and **6b** were silylated with the sterically bulky *t*-butyldiphenylsilyl group to give **7a** and **7b** in order to avoid possible silyl migration in later steps. The olefins **7a** and **7b** were firstly ozonised in good yield to the aldehydes **8a**(78%) and **8b**(91%) and then reduced to the alcohols **9a** and **9b** with Dibal-H.

For the synthesis of (R)-penciclovir triphosphate **1a** the alcohol **9a** was converted to the bromide **10a**( $\text{Br}_2$ ,  $\text{PPh}_3$ , DMF, 47%) in moderate yield. Alkylation of 2-amino-6-chloropurine **4** with the bromide **10a**, under literature conditions<sup>4</sup>,



gave as the major product the N-9 alkylated nucleoside **11a** (75%) which was separated from the unwanted N-7 isomer **12a** (14%) by column chromatography. The regiochemistry of addition was simply confirmed from the undecoupled <sup>13</sup>C NMR spectrum of (**12a**)<sup>5</sup>. The optical purity of **11a** was not amenable to analysis by chiral HPLC. However, removal of the silyl protecting group of **11a** by acidic hydrolysis gave not only the chloropurine **13a** (42%, 93% e.e.) but also some of the desired guanosine nucleoside **14a** (23%, H94/0223% e.e.) both of which were amenable to chiral HPLC analysis. Prolonged acidic hydrolysis smoothly converted the chloropurine **13a** to the guanosine nucleoside **14a** (75%). The phosphitylating agent 2-chloro-4H-1,3,2-benzodioxaphosphorin-4-one (van Boom reagent) had previously been used for the preparation of nucleoside H-phosphonates as synthons in oligonucleotide synthesis<sup>6</sup>. Phosphitylation of the nucleoside **14a** (90% e.e.) was carried out using the van Boom reagent following conditions similar to that in the literature<sup>8</sup> for the synthesis of nucleoside triphosphates<sup>7</sup>. The phosphitylated intermediate was then reacted with tri-n-butylammonium pyrophosphate followed by oxidation with iodine to give the crude benzyl protected nucleotide triphosphate **15a**. Eventually, conditions that allowed the removal of the benzyl protecting group from **15a** and which avoided complete hydrolysis of the triphosphate group were found by using a transfer hydrogenation procedure. Fortuitously, the hydrogenolysis of the benzyl group from **15a** could be monitored by analytical anion exchange HPLC which yielded not only (R)-penciclovir triphosphate **1a**, but also the (R)-diphosphate

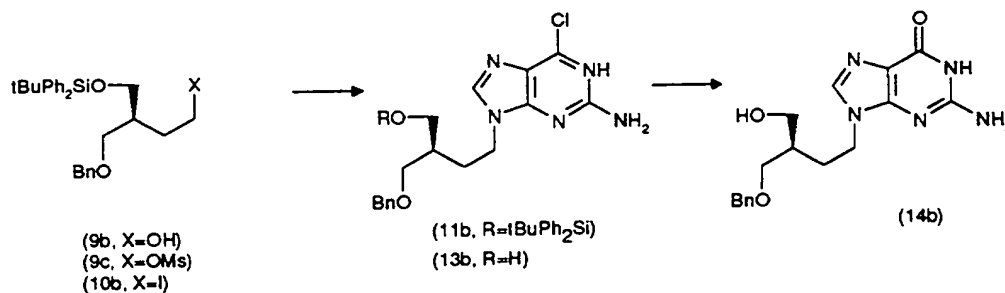
**16a** and the (R)-monophosphate **17a**. The latter two penciclovir nucleotides being formed as a result of hydrolysis of the triphosphate group under the transfer hydrogenation reaction conditions.



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The synthesis of the (S)-triphosphate **1b** was carried out under essentially identical conditions to those described for the synthesis of the (R)-triphosphate **1a**, except that the alcohol **9b** was converted firstly to the mesylate **9c** and then to the iodide **10b**. Alkylation of 2-amino-6-chloropurine **4** with **10b** again yielded the N-9 adduct **11b** as the major product along with a small amount of the unwanted N-7 adduct **12b**. Acidic hydrolysis of **11b** yielded a small amount of the 6-chloropurine **13b** (6%) but mainly the desired guanosine nucleoside **14b** (85%).

10



15 Phosphitylation of **14b** (90% e.e.) with the van Boom reagent, followed by reaction with tri-n-butylammonium pyrophosphate and then iodine gave the crude triphosphate **15b**. Again transfer hydrogenation of **15b** was monitored by analytical anion exchange HPLC to yield not only the desired (S)-penciclovir triphosphate **1b** but also the (S)-diphosphate **16b** and the (S)-monophosphate **17b**. The optical rotation results of all the (R)- and (S)-penciclovir phosphates are shown in Table 1. For each

20

enantiomeric pair the magnitude and sign of the optical rotations are essentially equal and opposite. This suggests that there has been little or no loss of chiral integrity from the precursors **14a** and **14b**, 90% e.e. in both cases, during the transfer hydrogenation conditions and therefore the optical purity of **1a**, **1b**, **16a**, **16b**, **17a** and **17b** to be approximately 90% e.e. in each case.

**Method A:**

Spherisorb silica (250 x 5.0 mm). Buffer A, Hexane; buffer B, hexane:methylene chloride (1:1); buffer C, hexane:ethanol (80:20). Eluant isocratic 40%A: 59%: 1%C, 1.00 ml/min. U.V. detection 220 n.m. Retention time 5a (major 9.48 mins, minor 8.98 mins), 5b (major 9.23 mins, minor 9.69 mins)

**Method B:**

Merck RP select B (125 x 4 mm) uM. Buffer A, TFA (0.1%) in water; buffer B TFA (0.1%) in acetonitrile. Eluant, gradient running from 5% to 80%B over 40 minutes, then 10 minutes at 80%B. Flow 2.00 ml/min. U.V. detection at 215 n.m.

**Method C:**

Chiralpak AD (250 x 4.6 mm). Eluant isocratic hexane:ethanol (7:3), 1.00 ml/min. u.v. detection at 220 n.m.

**Method D:**

Chiralpak AD (250 x 4.6 mm). Eluant isocratic hexane:ethanol (1:1) containing 0.1% DEA, 1.0 ml/min. U.V. detection at 240 n.m.

**Method E:**

Chiracel OB (250 x 4.6 mm). Eluant isocratic hexane:ethanol (98:2), 1.00 ml/min. U.V. detection 220 n.m.

**Method F:**

Chiracel OC (250 x 4.6 mm) isocratic hexane:ethanol (95:5) containing 0.1% DEA, 1.00 ml/min. U.V. detection at 220 n.m.

**5 Method G:**

Rainin Hydropore SAX (100 x 4.6mm plus precolumn) 12 $\mu$ m . Buffer A, ammonium phosphate: methanol (9:1, 10mM, pH 5.7), buffer B, ammonium phosphate: methanol (9:1, 125mM, pH 5.7). Eluant gradient 0% to 100%B over 25minutes, 1.00ml/min. U.V. detection 254n.m.

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**2-Benzyloxymethyl-4-penten-1-ol (6)**

To a stirred solution of sodium hydride (4.765g, 119.1 mmol) in dimethylformamide (50 ml) under argon with ice bath cooling was added a solution of 2-hydroxymethyl-4-penten-1-ol<sup>8</sup> (11.07g, 95.3 mmol) in dimethylformamide (75 ml) dropwise over 20 minutes. The cooling bath was removed and stirred for 1H before recooling the solution in an ice bath. A solution of benzylbromide (11.34 ml, 95.3 mmol) in dimethylformamide (75 ml) was added over 5 minutes, and after stirring for 18 hours at room temperature the reaction mixture was poured into brine (1.5L) and extracted with diethylether (4 x 300 ml). The organic fraction was washed with water (2 x 1.0L), dried over sodium sulphate, filtered and concentrated *in vacuo* to yield a light brown oil (17.40g). Silica gel crude oil (500 g) was purified by column chromatography using an increasing gradient from 10% diethylether: hexane 100% diethylether to yield ( ) (11.30g, 57.5%) as an oil; <sup>1</sup>H NMR (270 MHz, CDCl<sub>3</sub>)  $\delta$  7.53 to 7.37 (5H, m) aromatic, 5.90 (1H, ddt), 5.15 (2H, m), 4.67 (1H, d), 4.62 (1H, d), 3.89 to 3.69 (3H, m), 3.62 (1H, dd), 2.38 (1H, bs), 2.23 (2H, ddd), 2.09 (1H, m);<sup>13</sup> (  $\delta$  (67.8 MHz, CDCl<sub>3</sub>) 138.00, 136.26, 128.48, 127.76, 127.63, 116.56, 73.47, 73.34, 65.73, 40.37, 32.79; m/z (CI) 207 (MH<sup>+</sup>); C<sub>13</sub>H<sub>18</sub>O<sub>2</sub> requires C 75.69, H 8.80 found<sup>c</sup> 75.30, H 8.84.

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**(R)-(+)-and (S)-(-)-2-benzyloxymethyl-4-penten-1-ol**

To a stirred solution of (+)-2-benzyloxymethyl-4-penten-1-ol (8.52g, 41.3 mmol) in triethylamine (40ml, freshly distilled off P<sub>2</sub>O<sub>5</sub>) under nitrogen was added (R)-(-)-1-(1-naphthyl)ethylisocyanate (8.00g, 40.56 mmol). After 16 hours the

mixture was filtered and the solid washed with hexane. The filtrate was concentrated *in vacuo* to yield as a pale yellow 15.46g. Exhaustive column chromatography on silica gel eluting with methylene chloride: hexane: diethyl ether (10:10:1) gave the faster running diastereomer (5a) (5.15g, 30.9%) which was shown by analytical normal phase HPLC (Method A) to be 86% d.e. The slower running diastereomer (5b) (5.49g, 32.9%) was also shown to be 86% d.e. by HPLC (Method A). To a solution of the faster running diastereomer (5a) (5.15g, 12.76 mmol) in diethyl ether (250 ml) was added solid lithium aluminium hydride (484 mg, 12.76 mmol) and the mixture refluxed for 24H. The mixture was cooled in a water bath and water (0.43 ml) added, then a solution of sodium hydroxide (2.5M, 0.85 ml) and finally more water (1.06ml). After stirring at room temperature for 20 minutes the mixture was filtered through celite and the filtrate concentrated *in vacuo* to yield a clear oil (4.89g). Purification by column chromatography on silica gel eluting with a gradient from methylene chloride: hexane, diethyl ether (5:5:1) to (5:5:2) to yield (S)-(-)-2-benzyloxymethyl-4-penten-1-ol (6a) (1.97g, 75%). In a similar way the slower diastereomer (5b) (5.49g, 13.6 mmol) was reduced with lithium aluminium hydride to yield R-(+)-2-benzyloxymethyl-4-penten-1-ol (6b) (2.535g, 90.3%).

**(S)-(-)-2-Benzyloxymethyl-4-penten-1-ol (6a)**

Produced from lithium aluminium hydride reduction of (5a) faster running diastereomer on TLC in diethyl ether. [ $\delta_D^{22}$ -12.44° (chloroform, c=1.00)], [lit  $\alpha_D^{22}$ -12.1° (c=0.68, chloroform)]. Optical purity by chiral HPLC (Method E), retention time.

**(R)-(+)-2-Benzoxymethyl-4-penten-1-ol (6b)**

Produced from lithium aluminium hydride reduction of (5b) slower running diastereomer on TLC in diethyl ether. Optical purity by chiral HPLC (Method E) % retention time. See comments above.

**(S)-2-benzyloxymethyl-1-O-(1-butyldiphenylsilyl)-4-pentene (7b)**

To a stirred solution of the (R)-(+)-alcohol (6b) (1.67g, % e.e, 8.1 mmol), under argon, in dimethylformamide (25 ml) was added imidazole (1.213g, 17.8 mmol) and then t-butyldiphenylchlorosilane (2.448g, 8.9 mmol). The mixture was stirred at RT for 16H and then poured into dilute brine and extracted with methylene chloride. The organic fraction was dried over sodium sulphate, filtered and concentrated *in vacuo* to yield a colourless oil (4.18g). Column chromatography on

silica gel eluting with 1% diethylether in hexane yielded (7b) (3.43g, 95%) as a clear oil.

**(R)-2-Benzylloxymethyl-1-O-(t-butylidiphenylsilyl)-4-pentene (7a)**

In a similar procedure to that described above the (S)-(-)-alcohol (6a) (1.71g, 8.90 mmol) was silylated which after column chromatography on silica gel yielded (7a) (2.07g, 83%) as a clear oil. <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>) δ see above

**(R)-2-Benzylloxymethyl-1-O-(t-butylidiphenylsilyl)butan-4-al (8a)**

To a solution of the silyl protected olefin (1.32g, 2.99 mmol) (7a) in methylene chloride (60 ml) at -70°C was bubbled ozone gas. After 10 minutes TLC indicated all starting material had been consumed and argon was bubbled through the solution until the exhaust gas was free of ozone. Then add a solution triphenylphosphine (0.94g, 3.59 mmol) in DCM (10 ml) was added and allowed to slowly warm to RT. After 16H the mixture was concentrated *in vacuo* to yield a pale yellow oil (3.606g). Chromatography on silica gel eluting with a gradient of 2% diethylether in hexane to 10% diethyl ether in hexane yielded the title compound (8a) (1.03g, 78%) as an oil. <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>) δ 9.75 (1H, t), 7.61 (4H, bd), 7.42 to 7.22 (11H, m), 4.45 (2H, s), 3.67 (2H, m), 3.55 (1H, dd), 3.45 (1H, dd), 2.52 (3H, bs), 1.03 (9H, s).

**(S)-2-Benzylloxymethyl-1-O-(t-butylidiphenylsilyl)-butan-4-al (8b)**

In a similar method to a solution of the olefin (7b) 3.315g, 7.46 mmol) in methylene chloride 150 ml under argon, internal temp -68°C was bubbled ozone gas. After 15 minutes solution went blue. Bubble argon until exhaust gas negative for ozone. After 30 mins add a solution of triphenylphosphine in DCM (30 ml) and allowed to slowly warm to RT and was stirred for 64H. Purified as above to yield (3.03g, 90%) as a clear oil.

**(R)-2-Benzylloxymethyl-1-O-(t-butylidiphenylsilyl)-butan-1-ol (9a)**

To a stirred solution of the aldehyde (8a) (2.132g, 4.80 mmol) in methylene chloride (50 ml) under argon at -70°C was added a solution of diisobutylaluminium hydride in methylene chloride (1.0M, 5.30 ml) dropwise over 5 minutes. After 1H at -70°C TLC indicated all starting material consumed. Ethyl acetate (1.77 ml) was then added, followed by water (1.6 ml) and the cooling bath removed and replaced with a water bath. After 20 minutes was added sodium hydrogen carbonate (629 mg, 7.49 mmol) and the mixture stirred for 2.5 h. The solid was removed by filtration and

washed with methylene chloride. The filtrate was concentrated *in vacuo* to yield as a clear oil (9a) (2.2g, 103%) which was used without further purification. <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>) δ 7.64 (4H, bm), 7.44 to 7.25 (11H, m), 4.48 (2H, s), 3.65 (4H, m), 3.60 (1H, dd), 3.45 (1H, dd), 2.73 (1H, bt), 2.02 (1H, m), 1.67 (2H, m), 1.04 (9H, s).

**(S)-2-benzyloxymethyl-1-O-(t-butyldiphenylsilyl)-butan-1-ol (9b)**

To a solution of the crude aldehyde in DCM (71 ml) at -68°C under argon was added dibal-H via syringe over 5 minutes. After 4.25h TLC indicated all starting material had been consumed and was quenched by addition of EtOAc (2.50 ml). The cooline bath was removed and replaced with a water bath and mixture stirred for a further 0.5H. Then solid sodium hydrogen carbonate (0.893g, 10.63 mmol) was added and stirred for a further 1H. The solid was removed by filtration and washed with methylene chloride to yield (9b) as a crude oil (2.89g, 95%) which was used without further purification.

**(R)-2-Benzyloxymethyl-1-O-(t-butyldiphenylsilyl)-4-bromobutane (10a)**

To a stirred solution of the alcohol (2.142g, 4.80 mmol) and triphenylphosphine (1.386, 5.29 mmol) in dimethylformamide was added bromine (0.272 ml, 5.29 mmol) dropwise. After stirring for 16H at RT the mixture was poured into water, the solid was filtered and washed with hexane. The aqueous fraction was washed with hexane and the combined organic fractions were dried over sodium sulphate and concentrated *in vacuo* to give a pale yellow oil (2.98g). Column chromatography on silica gel eluting with a gradient from 5% to 25% methylene chloride in hexane to yield the title compound (9a, 1.14g, 46.7%) as an oil.

<sup>1</sup>H NMR (250 MHzCDCl<sub>3</sub>) δ 7.65 (4H, m), 7.47 to 7.24 (11H, m), 4.48 (2H, s), 3.69 (2H, m), 3.53 (2H, m), 3.42 (2H, t), 2.12 to 1.92 (3H, m), 1.04 (9H, s).

**(R)-2-benzyloxymethyl-1-O(t-butyldiphenylsilyl)-butan-4-O-methane sulphonate (9c)**

To a stirred solution of the crude alcohol ( ) (2.894g, 6.45 mmol) in methylene chloride and triethylamine (1.35 ml, 9.68 mmol) under argon at -5°C was added methane sulphonyl chloride (0.6°ml, 7.74 mmol) dropwise over 7 minutes. The mixture was warmed to 5°C, stirred for a further 1.5h, cooled to 0°C and dilute hydrochloric acid (1.25M) added. The organic phase was separated and the aqueous phase extracted with methylene chloride. The total organic fraction was washed with

dilute sodium hydrogen carbonate solution, dried over sodium sulphate, filtered and evaporated *in vacuo* to yield a pale yellow oil (3.40g) which was used without further purification. <sup>1</sup>H NMR (200 MHz) δ 7.63 (4H, m), 7.45 to 7.23 (11H, m), 4.47 (2H, s), 4.26 (2H, dt), 3.68 (2H, m), 3.52 (2H, m), 2.88 (3H, s), 2.01 (1H, m), 1.88 (2H, m), 1.04 (9H, s).

**(R)-2-benzyloxymethyl-1-O-(t-butyldiphenylsilyl)-4-iodo-butane (10b)**

To a stirred solution of the mesylate (9c) (3.40g) (6.45 mmol) in acetone (60 ml), under nitrogen, was added sodium iodide (1.934g, 12.9 mmol). The mixture was then heated under reflux for 3.5h, cooled to RT and concentrated *in vacuo*. Methylene chloride and water were added, separated and the aqueous phase back extracted with methylene chloride. The organic phase was separated and the aqueous phase was washed first with sodium metabisulphite and then brine and dried over sodium sulphate. The solvent was removed *in vacuo* to yield a pale yellow oil (3.391g).

Column chromatography on silica gel eluting with a gradient of 2% diethyl ether in hexane to 5% diethyl ether in hexane yielded the title compound (10b) (2.740g, 76%) as a clear oil. <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>) δ 7.63 (4H, m), 7.45 to 7.22 (11H, m), 4.47 (2H, s), 3.69 (2H, m), 3.54 (2H, m), 3.18 (2H, br), 1.97 (3H, m), 1.04 (9H, s).

**(R)-2-benzyloxymethyl-1-O-(t-butyldiphenylsilyl)-4-(2-amino-6-chloropurin-9-yl)-butane (11a)**

To a stirred solution of the bromide (10a) (1.017g, 2.00 mmol) in dimethylformamide (9.20 ml) and 2-amino-6-chloropurine (0.340g, 2.00 mmol) at RT under argon was added potassium carbonate (0.415g, 3.00 mmol). After 22h the reaction mixture was poured into water and extracted with methylene chloride:methanol (99:1). The combined organic extracts were washed with brine, dried over sodium sulphate, filtered and concentrated *in vacuo* to give a yellow oil (1.287g). Column chromatography on silica gel eluting with a gradient from 1% to 3% methanol in methylene chloride to yield (11a) as an oil (0.90g, 75.1%). <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>) δ 7.62 (5H, m), 7.48 to 7.22 (11H, m), 5.04 (2H, bs), 4.47 (2H, s), 4.10 (2H, t), 3.69 (2H, d), 3.48 (1H, dd), 3.37 (1H, dd), 2.09 to 1.78 (3H, m), 1.04 (9H, s).

**(S)-2-benzyloxymethyl-1-O-(t-butyldiphenylsilyl)-4-(2-amino-6-chloropurin-9-yl)-butane (11b)**

To a stirred solution of the iodide (10b) (2.40g, 4.90 mmol) in dimethylformamide (22.5 ml) was added 2-amino-6-chloropurine (834 mg, 4.91 mmol) and potassium carbonate (1.01g, 7.38 mmol) under argon at RT. After 30H was added methanol:methylene chloride (1:99, 250 ml) and the mixture poured into water (1L). The aqueous fraction was back extracted with methanol:methylene chloride (1:99, 3 x 250 ml). The total organic fraction was dried over sodium sulphate, filtered and concentrated *in vacuo* to give an oil (11.0g, containing residual dimethylformamide). The oil was taken up in methylene chloride (200 ml) and washed with water (1L). The aqueous phase was back extracted with methylene chloride (3 x 200 ml). The combined organic phase was again washed with water (1L), dried over sodium sulphate, filtered and concentrated *in vacuo* to give a yellow oil (3.162g). Column chromatography on silica gel eluting with DCM, methanol:methylene chloride (1:99) and finally methanol:methylene chloride (1.5:98.5) gave (11b) (2502g, 85%) as an oil containing ~5% DMF by <sup>1</sup>H NMR

**(R)-2-benzyloxymethyl-1-O-(t-butyldiphenylsilyl)-4-(2-amino-6-amino-7-yl)butane (12b)**

The title compound was obtained as a later eluting fraction from the silica gel column purification of (11b). The resulting oil was recrystallised from ethyl acetate:hexane to give a white solid (457 mg, 15.6%) m.pt. 109-110°C, HPLC purity (Method ) 98.0%. m/z 603 (M+H). <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) 8.33 (1H, s), 7.54 (4H, m), 7.48 to 7.23 (11H, m), 6.61 (2H, bs), 4.42 (2H, t), 4.37 to 4.27 (2H, m), 3.64 (2H, m), 3.50 (2H, m), 1.95 (1H, m), 1.83 (2H, m), 0.93 (9H, s), <sup>13</sup>C (100.6 MHz, DMSO-d<sub>6</sub>) 164.25, 159.84, 149.18, 1.42.03, 138.28, 134.91, 132.86, 132.82, 129.71, 128.10, 127.73, 127.25, 127.22, 114.61, 72.06, 69.42, 63.64, 44.51, 38.83, 29.92, 26.49, 18.65.

**(S)-2-benzyloxymethyl-4-(2-amino-6-chloropurin-9-yl)-butan-1-ol (13a)**

To a stirred solution of (11a) (158mg, 0.27 mmol) in tetrahydrofuran (4.0 ml) was added hydrochloric acid (2M, 4.0 ml) under argon at RT. After 24H excess saturated sodium carbonate was added. The aqueous phase was extracted with ethyl acetate and then chloroform. The total organic fraction was dried over sodium sulphate, filtered and evaporated to give a clear oil (135 mg). Column chromatography on silica gel eluting with an increasing gradient of methanol 2% to 4% methanol in methylene chloride to yield (13a) (60 mg, 66%) as an oil. A sample

was recrystallised from ethyl acetate:hexane to yield a white solid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.74 (1H, s), 7.42 to 7.28 (5H, m), 5.11 (2H, bs), 4.50 (2H, dd), 4.17 (2H, m), 3.75 (2H, d), 3.56 (2H, m), 2.45 (1H, bs), 2.08 to 1.90 (2H, m), 1.83 (1H, m),  $m/z$  (FAB) 362 (M+H). Chiral HPLC (Method C ) 93.6% e.e.

5 **(S)-2-benzyloxymethyl-4-(9-guaninyl)-butan-1-ol (14a)**

To a stirred solution of the chloropurine (13a) (325 mg, 0.9 mmol) in tetrahydrofuran (15 ml) was added hydrochloric acid (2M, 15 ml) and stirred at 60°C under argon, for 26H. The reaction mixture was cooled in a water bath and sodium hydroxide solution (10M) added until pH9. The aqueous phase was extracted with  
10 methanol:ethyl acetate (7:93, 4x35 ml). The organic phase was dried over sodium sulphate, filtered and evaporated *in vacuo* to give an oil (150 mg). On standing a white solid crystallised from the aqueous phase which was filtered off, washed with water (5 ml) and diethylether and dried *in vacuo* to give the title compound (14a) (175 mg, 56.8%).  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ ) 10.55 (1H, s), 7.66 (1H, s), 7.40 to  
15 7.22 (5H, m), 6.44 (2H, bs), 4.51 (1H, t), 4.42 (2H, t), 4.00 (2H, t), 3.49 to 3.30 (4H, m), 1.76 (2H, q), 1.64 (1H, m). HPLC purity (Method ) 98.3%, chiral HPLC (Method D) 89.6% e.e., retention time major peak 10.3 mins, minor peak 6.9 minutes. ( $\text{C}_{17}\text{H}_{21}\text{N}_5\text{O}_3$ , -7.7ppm,  $\text{NH}_3\text{Cl}$ )  $m/z$  343.1617. The oil was purified by column chromatography on silica gel eluting with an increasing gradient of methanol (8-22%)  
20 in methylene chloride to yield (14a) (87 mg, 28.2%) as an oil.

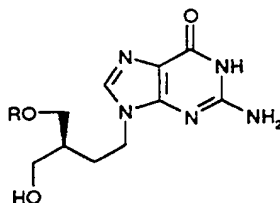
**(R)-2-benzyloxymethyl-4-(2-amino-6-chloropurin-9-yl)-butan-1-ol (13b)**

To a stirred solution of (11b) (248mg, 0.42 mmol) in THF (6.22 ml), at RT under argon, was added hydrochloric acid (2.0M, 6.2 ml). After 26H the tetrahydrofuran was removed *in vacuo*. The aqueous phase was extracted with ethyl  
25 acetate (3 x 30 ml), and the total organic fraction dried over sodium sulphate, filtered and evaporated *in vacuo* to give an oil. Column chromatography on silica gel eluting with chloroform and then an increasing gradient of methanol (1 to 4%) gave (13b) (96mg, 63.8%). The resulting oil was recrystallised from ethyl acetate:hexane to give a white solid (86 mg, 57.2%). Chiral HPLC (Method C ) 86.2% e.e.

30 **(R)-2-benzyloxymethyl-4-(9-guaninyl)-butan-1-ol (14b)**

To a stirred solution of the chloropurine (11b) (2.235g, 3.71 mmol) in tetrahydrofuran (56 ml), under argon at RT, was added hydrochloric acid (2.0M, 56 ml). The mixture was heated in the region 65-70°C for 20 hours, cooled to RT and

neutralised with sodium hydroxide (12.5M, ca 9 ml) until pH7. The tetrahydrofuran was removed by evaporation *in vacuo*. The aqueous phase was extracted with methylene chloride (100 ml) producing an emulsion and solid was removed by filtration. The solid was washed with methylene chloride (100 ml) and diethyl ether (50 ml). The aqueous phase was back extracted with methylene chloride (100 ml, 5 from solid wash). The combined organic extracts were dried over sodium sulphate, filtered, and concentrated *in vacuo* to give an oil (1.616g). The aqueous phase was readjusted to pH 7 and cooled in an ice bath for 2H. The resulting solid was washed with water and diethyl ether and combined with the first crop. The combined solid 10 were taken up in methanol, filtered and concentrated *in vacuo* to give (14b) (944 mg, 74.5%). HPLC purity 98.2%, chiral HPLC (Method D), 81.4% e.e.. A sample (755 mg) was recrystallised from water (ca 90 ml) and allowed to slowly cool to RT. The resulting solid was filtered, washed with water and dried *in vacuo* to give (14b) (609 mg, 80.7% recovery). Chiral HPLC (Method D ) 89.9% e.e. retention time major 15 peak 6.7 mins, minor peak 10.4 mins. HPLC purity (Method B ) 98.9%.  $C_{17}H_{21}N_3O_3(H_2O)_{0.6}$  requires C = 57.43; H = 6.29 and N = 19.70 found C = 57.49, H = 6.07 and N = 19.72.

(1b, R=P<sub>3</sub>O<sub>9</sub>H<sub>4</sub>)(16b, R=P<sub>2</sub>O<sub>6</sub>H<sub>3</sub>)(17b, R=PO<sub>3</sub>H<sub>2</sub>)

5

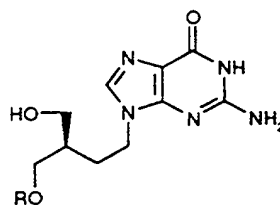
**(S)-Penciclovir triphosphate (1b), diphosphate (16b) and monophosphate (17b)**

A suspension of the (R)-nucleoside (14b) (174 mg, 0.51 mmol) was azeotroped with pyridine (2 x 10 ml). To a stirred suspension of ( ) in pyridine (1.22 ml) and dimethylformamide (4.62 ml) was added a solution of 2-chloro-4H-1,3,2-benzodioxaphosphorin-4-one (113 mg, 0.57 mmol) in dioxane (1.13 ml, plus 0.5 ml wash). The nucleoside immediately started to dissolve and a slight yellow colour was observed. After 10 minutes a solution of tri-n-butylamine pyrophosphate (336 mg) in tri-n-butylamine (0.58 ml) and dimethylformamide (2.9 ml) was added. After a further 10 minutes a solution of iodine (1% w:v) in pyridine:water (98:2;v:v; 10.2 ml) was added. Fifteen minutes after the addition of the iodine the reaction was poured into water (80 ml) and extracted with chloroform (4 x 80 ml). The aqueous phase was concentrated *in vacuo* to give the crude benzyl protected triphosphate (15b) (460 mg). To a solution of the crude triphosphate ( ) (460 mg) in water:methanol (1:1, 80 ml) was added palladium on carbon catalyst (Johnson-Matthey, Type 487L, 174 mg) with stirring under argon. After 15 minutes the catalyst was removed by filtration on celite and washed with not methanol:water (1:1, 90ml). To the filtrate was added ammonium formate (1.0g) and palladium catalyst (203 mg), with stirring under argon. The mixture was heated at reflux, both temperature 93-103°C, for 6 H. At which time the reaction had only proceeded ~50% to completion as judged by anion exchange HPLC (method ). The hot reaction mixture was filtered through celite and the catalyst washed with hot water:methanol (1:1, 200 ml). The filtrate was concentrated *in vacuo* and lyophilysed from water (700 ml) to give a white solid (620 mg). This solid was re-submitted to the transfer hydrogenation conditions. To a solution of the crude partially deprotected triphosphate (620 mg). This solid was re-

submitted to the transfer hydrogenation conditions. To a solution of the crude partially deprotected triphosphate (620 mg) in water:methanol (1:1, 160 ml) was added ammonium formate (480 mg) and palladium catalyst (50 mg), with stirring under argon. The mixture was heated, oil bath temperature 80°C, for 1.5H after which time the deprotection had deemed to have gone to completion,  $\leq 1\%$  ( ) remaining, as judged by anion exchange HPLC. The hot reaction mixture was filtered through celite and the catalyst washed with hot water:methanol (1:1, 200 ml). The filtrate was concentrated *in vacuo* to give a white solid (900 mg) which was lyophilysed from water (1x500 ml and 1x150 ml) to give crude (1b), ( 16b) and (17b) (367 mg).

Half of this was purified to give:

50 mg PCV.TP  
 40 mg PCB.DP  
 10 mg PCV.MP



(1a, R=P<sub>3</sub>O<sub>9</sub>H<sub>4</sub>)

(16a, R=P<sub>2</sub>O<sub>6</sub>H<sub>3</sub>)

(17a, R=PO<sub>3</sub>H<sub>2</sub>)

**(R)-Penciclovir triphosphate (1a), diphosphate (16a) and monophosphate (17a)**

The (S)-nucleoside (14a) (174 mg, 0.51 mmol) was phosphonylated and converted to the crude benzyl protected (15a) under identical conditions to those described for the synthesis of its enantiomer (15b) above. The crude benzylated triphosphate (15a) was taken up in water:methanol (1:1, 80 ml) and stirred with palladium on carbon catalyst (Type 487L, 175 mg). After 20 minutes the mixture was filtered through celite and the catalyst washed with water:methanol (1:1, 80 ml). The filtrate was used for the transfer hydrogenation debenzylation reaction. To the

filtrate (160 ml) was added ammonium formate (530 mg) and palladium on carbon catalyst (153 mg) and stirred under argon. The mixture was heated, oil bath temperature 76-88°C, and monitored by anion exchange HPLC (Method ). After 3.5H palladium catalyst (305 mg) was added and after 5.5H extra palladium catalyst (142 mg) was added. After 6.5H the reaction was filtered through celite and the catalyst washed with hot water:methanol (1:1, 200 ml) and the filtrate concentrated *in vacuo*. The filtrate was dissolved in water:methanol (1:1, 160 ml), ammonium formate (265 mg) and palladium catalyst (150 mg). The mixture was stirred under argon and heated in an oil bath in the region 76-80°C. After 5.5H the deprotection had deemed to have gone to completion by anion exchange HPLC,  $\leq 1\%$  ( ) remaining. The hot reaction mixture was filtered through celite and the catalyst washed with hot methanol:water (1:1, 100 ml). The filtrate was concentrated *in vacuo*, azeotroped with water (25 ml) to give an oil (830 mg). Then lyophilysed from water (1 x 300 ml and 1 x 125 ml) to give a white solid (376 mg).

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5. In the undercoupled  $^{13}\text{C}$  NMR of ( ) the purine C-5 (114.53 ppm) is coupled to purine H-8 and to the methylene group (C-4) of the butylside chain and appears as a quartet. Where as the purine C-4 (164.12 ppm) appears as a doublet as it is only coupled to the proton on C-8 of the purine.

6. Marugg, J.E., Tromp, M., Kuyl-Yeheskiel, E., van der Marel, G.A. and Van Boom, J.H., *Tetrahedron Lett.*, 1986, **27**, 2661-2664.
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#### Description 2- preparation of (R)-PCV phosphate - alternative method

##### 9-(4-Hydroxy-3-O-monomethoxytritylmethylbut-1-yl)guanine. (9-S and 9-R)

- 10            9-(4-*O*-Isobutyryl-3-hydroxymethylbut-1-yl)guanine (4-*R*, peak 1 or 4-*S*, peak 2, respectively) (0.3 g, 0.9 mmol) was dried under high vacuum for 2 h then was dissolved in DMF (3 mL). To the resultant solution *N,N*-dimethylformamide dimethyl acetal (0.75 mL, 5.5 mmol) was added. The reaction mixture was left overnight at room temperature. The reaction progress was followed by UV
- 15            (disappearance of the maximum at  $\lambda = 254$  nm, and appearance of a new maximum at  $\lambda = 301$  nm, corresponding to exoamino protected 4-*R* or 4-*S*). After reaction completion DMF was evaporated under reduced pressure then residue dissolved in anhydrous pyridine (5 mL). To the resultant solution monomethoxytritylchloride (0.3 g, 0.95 mmol) was added. The reaction mixture was maintained at room
- 20            temperature overnight then concentrated solution of  $\text{NH}_4\text{OH}$  (5 mL) was added. The whole was left overnight (the maximum at  $\lambda = 301$  disappeared) then solvents were evaporated under reduced pressure. The oily residue was dissolved in  $\text{CH}_2\text{Cl}_2$  (10 mL), and the solution was extracted with  $\text{H}_2\text{O}$  (3 x 5 mL). The organic fraction was dried over  $\text{MgSO}_4$  then  $\text{CH}_2\text{Cl}_2$  evaporated. Crude 9-(4-*O*-isobutyryl-3-*O*-
- 25            monomethoxytritylmethyl-but-1-yl)guanine (7) was redissolved in  $\text{CH}_2\text{Cl}_2$  then added dropwise to hexane. The precipitate was filtered, dried under reduced pressure. The yield was 0.3 g of each isomer of 7. Compound 7 (0.3 g) was dissolved in DMF (7.5 mL) and  $\text{CH}_3\text{OH}$  (7.5 mL) then to the resultant solution 1 M  $\text{NaOH}$  (3 mL) was added to remove the isobutyryl-protection. After 3h at room temperature the reaction
- 30            mixture was neutralized with Dowex 50Wx8 (pyridinium form). The resin was filtered then washed with  $\text{CH}_3\text{OH}$ . The filtrate and washings were combined and solvents evaporated under reduced pressure. Crude 9 was purified by silica gel

column chromatography using stepwise gradient of CH<sub>3</sub>OH in CH<sub>2</sub>Cl<sub>2</sub> from 0 to 8% as eluting solvent system. Yield of 9-*R* was 0.11 g (25%) and 9-*S* 0.26 g (55%).

9-*R*: TLC (CH<sub>2</sub>Cl<sub>2</sub>/CH<sub>3</sub>OH, 9 : 1) R<sub>f</sub> 0.12; UV (95% C<sub>2</sub>H<sub>5</sub>OH), λ<sub>max</sub>235, λ<sub>min</sub> 224, λ<sub>sh</sub>246, 263; 9-*S*: TLC (CH<sub>2</sub>Cl<sub>2</sub>/CH<sub>3</sub>OH, 9 : 1) R<sub>f</sub> 0.12; UV (95% C<sub>2</sub>H<sub>5</sub>OH),

5 λ<sub>max</sub>235, λ<sub>min</sub>223, λ<sub>sh</sub>243, 263.

Note: MMTrPCV (9) was synthesised both, with and without guanine exoamino-group protection. The yields are comparable.

**9-(4-Hydroxy-3-hydroxymethyl-but-1-yl)guanine triphosphate (10-*R* and 10-*S*)**

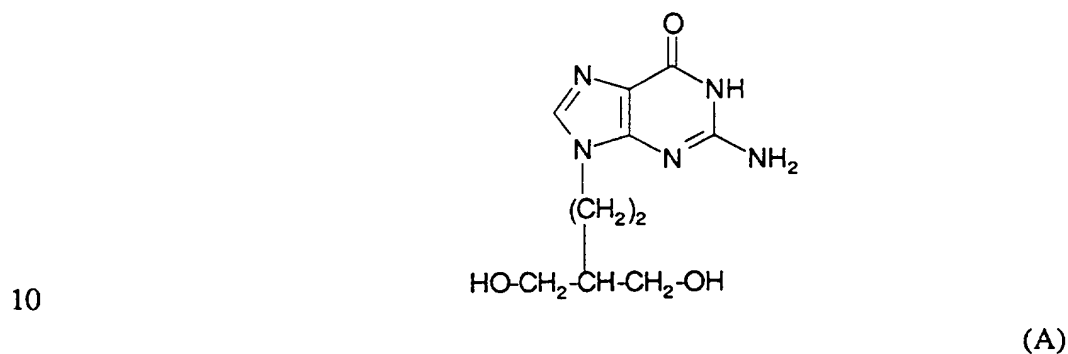
9-(4-Hydroxy-3-*O*-monomethoxytritylmethyl-but-1-yl)guanine (9-*S* or 9-*R*)

10 (60 mg, 0.11 mmol) was dried under vacuum overnight at room temperature then DMF (3.6 mL) and anhydrous pyridine (1.2 mL) was injected through the septum into the reaction flask equipped with stirring bar. During all the manipulations a small positive pressure of nitrogen was maintained in the reaction vessel by connecting it with a nitrogen-filled balloon. Freshly prepared 1 M solution of 2-  
15 chloro-4*H*-1,2,3-dioxaphosphorin-4-one (5) in anhydrous dioxane (0.55 mL) was injected into well-stirred solution of 9. After 15 min a 0.5 M solution of bis(tri-*n*-butylammonium)pyrophosphate (6) in anhydrous DMF (1.5 mL), followed by tri-*n*-butylamine (1 mL) was quickly injected. After 15 min the reaction mixture was divided into two equal parts (3.9 mL each) and to one 25% solution of iodine in  
20 pyridine/THF containing water was added till excess of iodine was reached. An excess iodine was destroyed after 15 min by adding a few drops of 5 % NaHSO<sub>3</sub> aqueous solution, and the reaction mixture was evaporated to dryness. The residue was redissolved in H<sub>2</sub>O (5 mL). After standing for 30 min at room temperature the solution was evaporated to dryness then 80% AcOH (25 mL) was added. Reaction  
25 mixture was maintained at room temperature for 0.5 H then AcOH was coevaporated with *n*-BuOH to dryness. The residue was treated with H<sub>2</sub>O (8 mL) then the resultant suspension was extracted with CH<sub>2</sub>Cl<sub>2</sub>(3 × 2 mL). The H<sub>2</sub>O fraction containing product was separated and filtered through Acrodisc LC 13 PVDF (0.45 μm, Gelman). The crude PCVTP was purified by FPLC using HiLoad 26/10, Q  
30 Sepharose Fast Flow Pharmacia column. The column was eluted with gradient of TEAB buffer (pH 7.0) from 0.05 to 0.7 M, at flow rate 10 mL/min. Fractions containing product were collected and lyophilized. Yield of PCV triphosphate 10-*R*

- and **10-S** was 90 A<sub>260</sub> units each (ca. 3.5 mg assuming  $\epsilon_{\text{PCVTP}} = 11.5 \times 10^3$ ). **10-R** : UV (H<sub>2</sub>O)  $\lambda_{\text{max}}$  253,  $\lambda_{\text{sh}}$  262; HPLC (column: 4.6 x 100 mm, Rainin Hydropore SAX, gradient: from 10% 10 mM NH<sub>4</sub>K<sub>2</sub>PO<sub>4</sub> containing 10% CH<sub>3</sub>OH to 125 mM NH<sub>4</sub>K<sub>2</sub>PO<sub>4</sub> containing 10% CH<sub>3</sub>OH in 15 min, pH 5.5, flow 1 mL/min, detection :  
5 254 nm)  $R_f$  9.8; MS/ESI 492.0 [M - H]. **10-S** : UV (H<sub>2</sub>O)  $\lambda_{\text{max}}$  252,  $\lambda_{\text{max}}$  265; HPLC (column: 4.6 x 100 mm, Rainin Hydropore SAX, gradient: from 10% 10 mM NH<sub>4</sub>K<sub>2</sub>PO<sub>4</sub>, 10% CH<sub>3</sub>OH to 125 mM NH<sub>4</sub>K<sub>2</sub>PO<sub>4</sub>, 10% CH<sub>3</sub>OH in 15 min, pH 5.5; flow 1 mL/min, detection: 254 nm)  $R_f$  9.0; MS/ESI 492.0 [M - H].

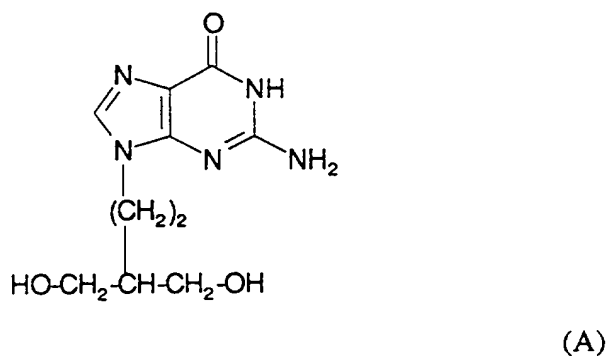
# Claims

1. A method of treatment of:
  - i) HIV-1 infections in mammals, including humans; or
  - 5 ii) HBV infections in mammals, including humans;
 which method comprises the administration to the human in need of such treatment, an effective amount of the (R)-enantiomer of the triphosphate of a compound of formula (A):



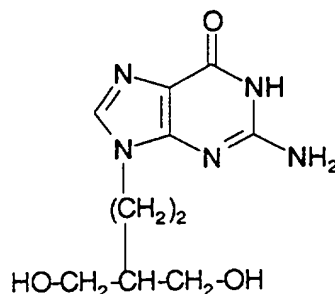
or a pharmaceutically acceptable salt thereof.

2. Use of the (R)-enantiomer of the triphosphate of a compound of formula (A):
- 15



- or a pharmaceutically acceptable salt thereof;
- in the manufacture of a medicament for the treatment of HIV-1 infections or HBV
- 20 infections.

3. A pharmaceutical composition for the treatment of HIV-1 infections or HBV infections comprising the (R)-enantiomer of the triphosphate of a compound of formula (A):



(A)

or a pharmaceutically acceptable salt thereof;  
and a pharmaceutically acceptable carrier.

4. A method, composition, or use according to claim 1, 2, or 3 wherein the (R)-PCV-TP is in the form of a bioprecursor which is a derivative of (R)-PCV-MP which liberates intracellularly (R)-PCV-MP which is in turn converted to (R)-PCV-TP.

5. A compound which is bioprecursor of (R)-PCV-TP, for use in the method, use, or composition according to claim 1, 2, 3, or 4.

6. A compound which is bioprecursor of (R)-PCV-TP, which is a derivative of (R)-PCV-MP.

7. A compound according to claim 6, which is selected from the following:  
PL-ASOR derivative;  
phospholipid derivative;  
(R)-MP Bis(POM) derivative;  
(R)-MP diphenyl ester derivative;  
dimyristoylglycerol diphosphate derivative.

Docket No.: P31158

PCT/EP96/01706

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

USE OF (R)-PENCICLOVIR TRIPHOSPHATE FOR THE MANUFACTURE OF A MEDICAMENT  
FOR THE TREATMENT OF VIRAL DISEASES

the specification of which (check one)

☐ is attached hereto.

☒ was filed on 23rd April 1996 as Serial No. PCT/EP96/01706  
and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

Number	Country	Filing Date	Priority Claimed
9508237.6	Great Britain	24th April 1995	Yes
9604909.3	Great Britain	8th March 1996	Yes

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

Application Number	Filing Date
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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Serial No.	Filing Date	Status
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I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

Customer Number 20462

Address all correspondence and telephone calls to Dara Dinner, SmithKline Beecham Corporation, Corporate Intellectual Property-U.S., UW2220, P.O. Box 1539, King of Prussia, Pennsylvania 19406-0939, whose telephone number is 610-270-5017.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Docket No.: P31158

PCT/EP96/01706

DECLARATION AND POWER OF ATTORNEY

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USE OF (R)-PENCICLOVIR TRIPHOSPHATE FOR THE MANUFACTURE OF A MEDICAMENT  
FOR THE TREATMENT OF VIRAL DISEASES

the specification of which (check one)

[ ] is attached hereto.

[ X ] was filed on 23rd April 1996 as Serial No. PCT/EP96/01706  
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Full Name of Inventor: Richard Anthony VERE HODGE

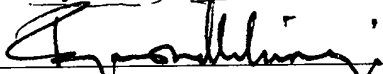
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